



2-10-01

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Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. A01317

First Inventor Lyn Hughes

Title Buccal Dissolution of Active Substances

Express Mail Label No. ET924480031US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 16]
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U S C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages ]
- a.  Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- b.  i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)
6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. ....

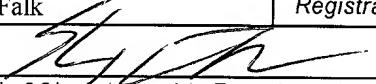
Prior application information

Examiner \_\_\_\_\_

Group Art Unit, \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label			or <input type="checkbox"/> Correspondence address below
Name			
Address	21898		
	PATENT TRADEMARK OFFICE		
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)	Stephen T. Falk		Registration No. (Attorney/Agent) 36,795
Signature			Date 11/5/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 740)

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Lyn Hughes
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	A01317

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **18-1850**Deposit Account Name **Rohm and Haas Company**

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	
Fee Code (\$)	Fee Code (\$)	
101 740	201 370	Utility filing fee
106 330	206 165	Design filing fee
107 510	207 255	Plant filing fee
108 740	208 370	Reissue filing fee
114 160	214 80	Provisional filing fee

Fee Paid

**740**SUBTOTAL (1) (\$ **740**)

## 2. EXTRA CLAIM FEES

Total Claims	3	-20** =	0	Extra Claims	Fee from below	Fee Paid
Independent Claims	1	-3* =	0			
Multiple Dependent						<b>0</b>
						<b>280</b>

Large Entity	Small Entity
Fee Code (\$)	Fee Code (\$)
103 18 203 9	Claims in excess of 20
102 84 202 42	Independent claims in excess of 3
104 280 204 140	Multiple dependent claim, if not paid
109 84 209 42	** Reissue independent claims over original patent
110 18 210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ **0**)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205	65 Surcharge - late filing fee or oath	
127 50	227	25 Surcharge - late provisional filing fee or cover sheet	
139 130	139	130 Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112	920* Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215	55 Extension for reply within first month	
116 400	216	200 Extension for reply within second month	
117 920	217	460 Extension for reply within third month	
118 1,440	218	720 Extension for reply within fourth month	
128 1,960	228	980 Extension for reply within fifth month	
119 320	219	160 Notice of Appeal	
120 320	220	160 Filing a brief in support of an appeal	
121 280	221	140 Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240	55 Petition to revive - unavoidable	
141 1,280	241	640 Petition to revive - unintentional	
142 1,280	242	640 Utility issue fee (or reissue)	
143 460	243	230 Design issue fee	
144 620	244	310 Plant issue fee	
122 130	122	130 Petitions to the Commissioner	
123 50	123	50 Processing fee under 37 CFR 1.1 7(q)	
126 180	126	180 Submission of Information Disclosure Stmt	
581 40	581	40 Recording each patent assignment per property (times number of properties)	
146 740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279	370 Request for Continued Examination (RCE)	
169 900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____			
• Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$ <b>0</b> )

\*\*or number previously paid, if greater. For Reissues, see above

Complete (if applicable)

Name (Print/Type)	Stephen T. Falk	Registration No (Attorney/Agent)	36,795	Telephone	(215) 592-6745
Signature				Date	11/5/01

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty Docket No. A01317  
JPW/ams

In re application of:  
Lyn Hughes :

Serial No.: Not yet assigned : Group Art Unit: Not yet assigned

Filed: Herewith : Examiner: Not yet assigned

For: Buccal Dissolution of Active Substances

Assistant Commissioner for Patents  
**Box Patent Application**  
Washington, DC 20231

CERTIFICATE OF EXPRESS MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as Express Mail with the U.S. Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated next to my signature below:

Utility Patent Application Transmittal  
Fee Transmittal Sheet (in duplicate)  
Non-Provisional Patent Application  
Drawings – 2 Sheets  
Return Receipt Postcard

November 5, 2011  
Date

Angela M. Sobocinski  
Signature

U.S. Postal Service Express Mail Label No. ET924480031US